

FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	ATTY. DOCKET NO. RSW920030063US1	SERIAL NO. 10/632 156
	APPLICANT: Ronald P. Doyle, et al.	
	FILING DATE: herewith	GROUP:

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIALS	CITE	DOCUMENT NUMBER	PUBLICATION DATE	NAME	REFERENCE
AW		2003/0051054A1	Mar 13, 2003	Redlich, Ron M., et al.	
AW		2002/0194485A1	Dec 19, 2002	Ram, Prasad, et al.	
AW		2002/0184511A1	Dec 05, 2002	Kolouch, James L.	
AW		6,532,455	Mar 11, 2003	Martin, Paul E., et al.	
AW		6,487,665	Nov 26, 2002	Andrews, Anthony D., et al.	
AW		6,311,269	Oct 30, 2001	Luckenbaugh, Gary L., et al.	
AW		6,226,745	May 01, 2001	Wiederhold, Gio	
AW		6,202,066	Mar 13, 2001	Barkley, John, et al.	
AW		6,192,396	Feb 20, 2001	Kohler, Timothy L.	
AW		6,006,228	Dec 21, 1999	McCollum, Tab, et al.	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	CITE	FOREIGN PATENT DOCUMENT	PUBLICATION DATE	NAME	REFERENCE

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

		AW	"White Paper - The Business Benefits of Persistent Document Security", March 2003, SealedMedia, 19 June 2003 < <a href="http://www.sealedmedia.com/products/wp_business_benefits.pdf">http://www.sealedmedia.com/products/wp_business_benefits.pdf</a> > (p. 1-5 of 5)
		AW	"SealedMedia Safeguards Corporate Email and Documents with New Version of its Document Security Solution", 9 June 2003, SealedMedia, 19 Jun 2003, < <a href="http://www.sealedmedia.com/news_and_events/pr_2.6.asp">http://www.sealedmedia.com/news_and_events/pr_2.6.asp</a> > (p. 1-3 of 3)
		AW	"SealedMedia - Products and Services - How it Works: how it works", 9 June 2003, SealedMedia, 19 Jun 2003, < <a href="http://www.sealedmedia.com/products/how.asp">http://www.sealedmedia.com/products/how.asp</a> > (p. 1-3 of 3)
		AW	"SealedMedia - Products and Services - How it Works: assigned rights", 9 June 2003, SealedMedia, 19 Jun 2003, < <a href="http://www.sealedmedia.com/products/rights.asp">http://www.sealedmedia.com/products/rights.asp</a> > (p. 1 of 1)
		AW	"SealedMedia - Products and Services - How it Works: how it works", 9 June 2003, SealedMedia, 19 Jun 2003, < <a href="http://www.sealedmedia.com/products/components.asp">http://www.sealedmedia.com/products/components.asp</a> > (p. 1 of 1)
		Bird, Paul AW	"Implementing Low Level Access Control With DB2 UDB", Winter 2000, The IDUG Systems Journal, Volume 7, Number 3, 14 Jul 2003, < <a href="http://www.idug.org/idug/member/journal/winter00/article104.cfm">http://www.idug.org/idug/member/journal/winter00/article104.cfm</a> > (p. 1-14 of 14)
		Miller, Roger AW	"DB2® for z/OS Security: Protect Your Assets", IBM Corporation, 14 Jul 2003, < <a href="ftp://ftp.software.ibm.com/software/db2storedprocedure/db2zos390/techdocs/db2security.pdf">ftp://ftp.software.ibm.com/software/db2storedprocedure/db2zos390/techdocs/db2security.pdf</a> > (p. 1-99 of 99)

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DATE CONSIDERED

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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	APPLICANT: R. P. Doyle, et al	
	FILING DATE: 07/31/2003	GROUP: 2131

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA						
	AB						
	AC						
	AD						
	AE						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AW	AR	"New Office Locks Down Documents", by David Becker, Staff writer, CNET News.com, September 2, 2003 (4 pages)
	AS	

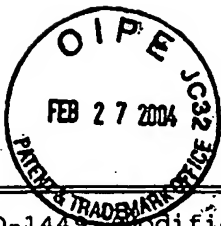
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## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
AW	AA	5 9 3 3 4 9 8	08/03/1999	MRJ, Inc.	380	4	11/5/1997
	AB						
	AC						
	AD						
	AE						

## FOREIGN PATENT DOCUMENTS

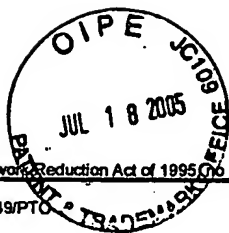
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/632,156
Filing Date	July 31, 2003
First Named Inventor	Ronald P. Doyle
Art Unit	2131
Examiner Name	not known
Attorney Docket Number	RSW920030063US1

Sheet	1	of	1
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
AW	AA	"Intelligent Documents", 2005, Adobe Systems Incorporated, 15 July 2005, < <a href="http://partners.adobe.com/public/developer/lifecycle/topic_intelligent_docs.html">http://partners.adobe.com/public/developer/lifecycle/topic_intelligent_docs.html</a> > (p. 1-3 of 3)	
AW	AB	"Adobe® LiveCycle Designer", 12/04, Adobe Systems Incorporated, 15 July 2005,	
AW		< <a href="http://www.adobe.com/products/server/adobedesigner/pdfs/95004562_Designer_FAQ_UE_122304.pdf">http://www.adobe.com/products/server/adobedesigner/pdfs/95004562_Designer_FAQ_UE_122304.pdf</a> > (p.1-3 of 3)	
AW	AC	"Adobe® LiveCycle™ Reader Extensions", 2005, Adobe Systems Incorporated, 15 July< <a href="http://www.adobe.com/aboutadobe/pressroom/pressmaterials/pdfs/Extensions_AAG.pdf">http://www.adobe.com/aboutadobe/pressroom/pressmaterials/pdfs/Extensions_AAG.pdf</a> > (p.1-2 of 2)	
AW	AD	"Adobe Showcases Solutions for Manufacturing at National Design Engineering Show", 7 March 2005, Adobe Systems Incorporated, 15 July 2005,	
AW		< <a href="http://www.adobe.com/aboutadobe/pressroom/pressreleases/pdfs/200503/030705NDES.pdf">http://www.adobe.com/aboutadobe/pressroom/pressreleases/pdfs/200503/030705NDES.pdf</a> > (p. 1-2 of 2)	
AW	AE	"Adobe Introduces Next Generation Authoring for Web and Mobile Platforms with GoLive CS2", 4 April 2005, Adobe Systems Incorporated,	
AW		15 July 2005, < <a href="http://www.adobe.com/aboutadobe/pressroom/pressreleases/pdfs/200504/040405GoLive.pdf">http://www.adobe.com/aboutadobe/pressroom/pressreleases/pdfs/200504/040405GoLive.pdf</a> > (p. 1-2 of 2)	

Examiner Signature	/Aubrey Wyszynski/	Date Considered	01/01/2007
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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